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January 17, 2002

Commissioner for Patents Washington, D.C. 20231

Re:

U.S. Patent Application No.: 09/852,976

COMPOSITIONS AND METHODS FOR INDUCTION OF ACTIVE

AUTOIMMUNITY

Inventor: Tse W. Chang et al.

Filed: May 10, 2001 Our Ref. No.: THI-001

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

- 1. Information Disclosure Statement;
- 2. PTO Form 1449;
- 3. Copies of references cited in PTO Form 1449; and
- 4. A Return Postcard.

No additional costs are believed to be due in connection with the filing of this Information Disclosure Statement. However, please charge any necessary fees in connection with the enclosed statement to our Deposit Order Account No. 12-0080. For this purpose, a duplicate of this sheet is attached.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on:

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January 17, 2002

Date

Megan E. Williams, Esq., Reg. No. 43,270

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

Megan E/Williams, Esq. Registration No. 43,270

Attorney for Applicants





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Tse W. Chang et al.

Serial No.: 09/852,976

Filed: May 10, 2001

For: COMPOSITIONS AND METHODS FOR INDUCTION OF ACTIVE AUTOIMMUNITY

Attorney Docket No.: THI-001

Group Art Unit: 1615

Examiner:

Commissioner for Patents Washington, D.C. 20231

Certificate of First Class Mailing (37 CFR §1.8(a))

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date set forth below.

Megan E. Williams, Esq. Registration No. 43,270

Attorney for Applicants

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicants and their Attorney have become aware of the following publications and information, listed on the attached PTO Form 1449, and in accordance with 37 CFR §1.97 hereby submit these publications for the Examiner's consideration. A copy of each cited publication is enclosed. In addition, copies of references cited in an International Search Report mailed January 23, 2001 during the prosecution of PCT/US00/12997 which corresponds to the above-referenced application, are listed on the PTO Form 1449 and are enclosed as well.

Group Art Unit: 1615 Page -2-Serial Number: 09/852,976 This statement is not to be interpreted as a representation that the cited publications are material, that an exhaustive search has been conducted, or that no other relevant information exists. Nor shall the citation of any publication herein be construed per se as a representation that such publication is prior art. Moreover, Applicants understand that the Examiner will make an independent evaluation of the cited publications. Under 37 CFR § 1.97(b)(3), no additional costs are believed to be due in connection with the filing of this disclosure. If, however, a first Office Action on the merits issues in this application bearing a mailing date prior to the date of this Information Disclosure Statement, please charge the appropriate fee as required under 37 CFR §1.17(p) to our Deposit Order Account No. 12-0080. Respectfully submitted, LAHIVE & COCKFIELD, LLP Megan E. Williams, Esq. Registration No. 43,270 Attorney for Applicants 28 State Street Boston, MA 02109 (617) 227-7400 Date: January 17, 2002 GAD/MEW/RKN/alf Enclosures